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(71) Applicant: International Computers Ltd.  
London, EC2A 1DS (GB)

(72) Inventor: van Blarkom, Gilles Willem  
2925 CL Krimpen aan den IJssel (NL)

(74) Representative: Guyatt, Derek Charles et al  
Intellectual Property Department  
International Computers Limited  
Cavendish Road  
Stevenage, Herts, SG1 2DY (GB)

### (54) Secure database

(57) A secure database system comprises a server having a database including at least one personal information table and at least one further table containing information relating to the persons whose details are stored in the personal information table. The keys of the tables in the database are unrelated, so that it is impossible to determine solely from information in the server which record in the further table corresponds to which

record in the personal information table. Thus, even if a hacker obtains access to the database, the hacker will not be able to relate information in the different tables. Each legitimate client uses an encryption process to convert a personal identifier value, which identifies the record relating to a particular person in the personal information table, into a pseudo-identifier value, which identifies a record relating to the same person in the further table.

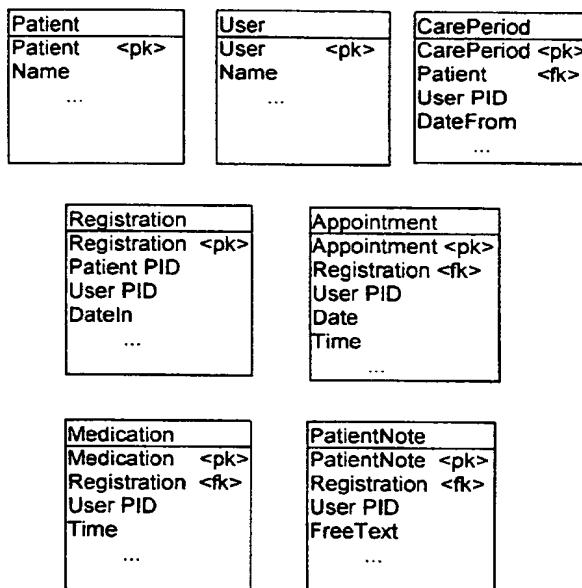


FIG. 2

## Description

### Background to the Invention

This invention relates to secure databases.

Many countries have legislation for controlling the way in which personal data may be stored and used on computer systems. For example, the Dutch Personal Data Registration Act ("Wet Persoonsregistraties") demands (among other things) that the database must be secured against hackers who have succeeded in getting unauthorised access to the database despite all security applied to it. However, it has been found that conventional database systems do not satisfy this requirement. For example, in conventional hospital information systems, if a hacker gains access to a medical history record, the hacker can obtain the patient's key from this record and use this key to access any other records containing information about the same patient, such as the patient's name and address.

The object of the present invention is to provide a way of overcoming this problem.

### Summary of the Invention

According to the invention a computer system comprises:

- (a) a server having a database including at least one personal information table and at least one further table containing information relating to persons whose details are stored in the personal information table; and
- (b) a plurality of clients, for accessing said database;
- (c) said tables in said database having keys that are unrelated to each other, whereby it is impossible to determine solely from information in the server which record in said further table corresponds to which record in said personal information table; and
- (d) each client including an encryption process for converting a personal identifier value, which identifies a record relating to a particular person in said personal information table, into a pseudo-identifier value, which identifies a record relating to the same person in said further table.

It can be seen that, even if a hacker obtains access to the database, the hacker will not be able to relate information in the different tables. In a hospital information system for example, if a hacker obtains access to a medical history record, the hacker cannot relate this record to a particular patient.

### Brief Description of the Drawing

Figure 1 is a block diagram showing a computer system incorporating a secure database.

Figure 2 shows a skeleton model of the database.

### Description of an Embodiment of the Invention

One embodiment of the invention will now be described by way of example with reference to the accompanying drawings. This consists of a hospital records system which stores information about patients and their treatments, and which can only be accessed by authorised users, such as doctors, nurses and administrators. However, it will be appreciated that the invention can also be used in other applications where there is a need to protect personal data. For example, the invention could also be used in an insurance company database for storing personal data about customers and details about their claims.

### Overall view of the system

Referring to Figure 1, this shows a distributed computer system comprising a server 10 and a number of clients 11, interconnected by a network 12. The server is a central hospital computer, and the clients are personal computers (PCs), located on individual users' desks. The server 10 runs a database application 13, which may be any database system; for example, it may be an Oracle database. Each client 11 runs a client application 14, which enables an authorised user to communicate with the database, and to access data from it.

Referring to Figure 2, the database 13 holds a number of tables. Each of these tables has a primary key (indicated by "pk"), which uniquely identifies each record in the table. This primary key is a numeric figure, starting with 1 (one) for the first record written in the table and incremented by 1 (one) for each subsequent record inserted into that table. Some of the tables also include foreign keys (indicated by "fk"), which identify connections between the tables.

There are two groups of tables. The first group contains personal data about patients and users, and the data defining the periods of care. Only the data in this group is required in order to establish if a user is allowed to access the records for a particular patient. This group comprises the following tables:

Patient	Personal, non-medical data about individual patients, such as the patient's name, address and telephone number.
User	Information about authorised users of the system. The information includes such things as name, login name, and doctor's specialism.
CarePeriod	Information about which users are currently responsible for the care of individual patients.

The second group of tables holds medical data. It consists of a large number of tables, each of which holds one and only one group of facts. Some examples of tables in this second group are as follows:

Registration	Information about registration of individual patients. There is one row in this table for each patient currently under active care.
Appointment	Information about appointments that have been arranged for individual patients.
Medication	Information about medication that has been prescribed for individual patients.
PatientNote	Free-text medical notes on individual patients.

Each of the tables in this second group has a primary key which is in no way related to the primary keys of the patient or user. Therefore, even if a hacker manages to obtain access to one of these tables, it is not possible for the hacker to relate the medical data to a particular patient or user.

To enable authorised users to relate the information in this second group of tables to the patients or users, the system uses so-called pseudo-identifiers (PIDs). The PIDs are stored in extra columns of the tables. The PID values are calculated from the patients' and users' primary keys, using a cryptographic algorithm. The cryptographic algorithm is available only on the clients; the algorithm is not recorded in the database, and so cannot be discovered by a hacker who gains access to the server. The algorithm uses a master encryption key, which is different for each hospital.

The PID values are calculated using a different encryption protocol for each PID in each table. This is achieved by assigning a unique identifier number nr\_PID to each PID in each table, and using this number as an input parameter for the cryptographic algorithm. In other words, the encryption algorithm for a particular PID uses the following three parameters:

- the primary key being encrypted,
- the hospital's master encryption key,
- the unique identifier number nr\_PID for the PID.

Thus, it is guaranteed that records relating to the same patient have different PID values in different tables, and records with the same PID in different tables do not relate to the same patient.

For example, in the database of Figure 2, unique identifier numbers nr\_PID may be assigned to the PIDs as follows:

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Table	PID	nr PID
CarePeriod	User	34
Registration	Patient	47
Registration	User	23
Appointment	User	127
Medication	User	18
PatientNote	User	5

Free-text columns in the database, such as in the PatientNote table, present a particular problem, since a user is free to put any text in these columns, and may therefore include the patient's name. This would be of great assistance to a hacker. This problem is overcome by storing such free text in encrypted form, using an encryption algorithm resident on the client.

### Login

This section describes the operation of the system when a user logs in.

The client application first prompts the user to enter his or her login name and password, and sends a login message to the database application. When the database application receives this message, it authenticates the user. Techniques for authentication of users are well known, and so will not be described in any further detail.

Assuming that the user has been correctly authenticated, the database application then searches the User table, to find the record that matches the user's login name. From this record, the database application obtains the user's primary key.

The client application then encrypts the user's primary key, using the appropriate nr\_PID (34 for example) as a parameter, to generate a user PID value for accessing the CarePeriod table. The PID is sent to the database application.

The database application then searches the CarePeriod table, to find all rows containing this PID. This identifies all the patients currently in the care of this particular user. The database application then uses the patient keys from these rows to access the corresponding rows of the Patient table. The patients' personal details are read from the Patient table, and are returned to the client application, where they are displayed to the user.

### Medication table

This section describes the operation of the system when a user wishes to obtain details of a particular patient's medication. It is assumed that the user has logged in to the system and has obtained the patient's primary key as described above.

The client application encrypts the patient's primary key, using the appropriate nr\_PID (47 for example) as a

parameter, so as to generate a patient PID value for accessing the Registration table. The patient PID is sent to the database application.

The database application searches the Registration table to find the row that contains this patient PID value. It then uses the Registration key from this row to access the corresponding row in the Medication table. The medication record of the patient is then read from this row, and is returned to the client application, for displaying to the user.

### Appointment table

This section describes the operation of the system when a user wishes to obtain details of a patient's appointments with a particular doctor. It is assumed that the user has logged in to the system and has obtained the patient's primary key as described above.

The user primary key for the doctor is first read from a look-up table into the client application.

The client application then encrypts the patient primary key, using the appropriate nr\_PID (47 for example) as a parameter, so as to generate a patient PID value for accessing the Registration table. The client application also encrypts the user primary key, using the appropriate nr\_PID (23 for example) as a parameter, so as to generate a user PID value for accessing the Registration table. The calculated PID values are sent to the database application.

The database application then searches the Registration table, to find a row containing both of these PID values. It then uses the Registration primary key from this row to access the corresponding row in the Appointments table. Details of the appointment are then read from this row, and returned to the client application, for displaying to the user.

### PatientNote table

This section describes the operation of the system when a user wishes to view a free-text note relating to a particular patient. It is assumed that the user has logged in to the system and has obtained the patient's primary key as described above.

The client application first encrypts the patient primary key, using the appropriate nr\_PID (47 for example) as a parameter, so as to generate a patient PID value for accessing the Registration table. The PID is sent to the database application.

The database application then searches the Registration table, to find the row that contains this patient PID value. It then uses the Registration primary key from this row to access the corresponding row in the PatientNote table. This row contains the (encrypted) free-form text notes relating to the patient, and is returned to the client application. The client application then decrypts the notes, and displays them to the user.

The user can also update the text, or create new

text, using a conventional word processor. The client application encrypts this text, and sends it to the database application, for writing into the PatientNote table.

### 5 Network encryption

Preferably, all traffic over the network 12 is encrypted, to protect it against eavesdropping. It should be noted that this encryption is additional to the encryption processes described above. Encryption techniques for networks are well known, and so will not be described in any further detail in this specification.

### Some possible modifications

It will be appreciated that many modifications may be made to the system described above without departing from the scope of the present invention. For example, instead of using the same encryption algorithm for all the tables, a different encryption algorithm may be used for each table.

The login procedure may be enhanced with some sort of software for user authentication. This process will involve an extra database server. It is envisaged that the authentication process will, on a positive authentication, return the encryption key or keys to be used by the client application to calculate the required PIDs.

### 30 Claims

#### 1. A computer system comprising:

(a) a server having a database including at least one personal information table and at least one further table containing information relating to persons whose details are stored in the personal information table; and  
 (b) a plurality of clients, for accessing said database; characterised in that  
 (c) said tables in said database have keys that are unrelated to each other, whereby it is impossible to determine solely from information in the server which record in said further table corresponds to which record in said personal information table; and  
 (d) each client includes an encryption process for converting a personal identifier value, which identifies a record relating to a particular person in said personal information table, into a pseudo-identifier value, which identifies a record relating to the same person in said further table.

2. A computer system according to Claim 1 wherein said encryption process uses a different encryption protocol for each said pseudo-identifier value.

3. A computer system according to Claim 2 wherein

the encryption process uses the following parameters:

- the personal identifier value being encrypted,
- an encryption key, and
- a unique identifier number for the pseudo-identifier.

4. A computer system according to any preceding claim wherein the database includes at least one free text table containing free text information, and wherein said client includes means for encrypting text before writing it into said free text table and for decrypting text when read from said free text table.

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5. A computer system according to any preceding claim including means for encrypting information while in transit between said client and said server.

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6. A method of securely storing data in a database, comprising:

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(a) storing in a server a database including at least one personal information table and at least one further table containing information relating to persons whose details are stored in the personal information table;

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(b) providing said tables with keys that are unrelated to each other, whereby it is impossible to determine solely from information in the server which record in said further table corresponds to which record in said personal information table;

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(c) operating a plurality of clients to access said database; and

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(d) performing, in each said client, an encryption process which converts a personal identifier value, identifying a record relating to a particular person in said personal information table, into a pseudo-identifier value, which identifies a record relating to the same person in said further table.

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7. A method according to Claim 6 wherein a different encryption protocol is used for each said pseudo-identifier value.

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8. A method according to Claim 7 wherein said encryption process uses the following parameters:

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- the personal identifier value being encrypted,
- an encryption key, and
- a unique identifier number for the pseudo-identifier.

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9. A method according to any of Claims 6 to 8 wherein said database includes at least one free text table containing free text information, and wherein each

said client encrypts text before writing it into said free text table and decrypts text when read from said free text table.

5 10. A method according to any of Claims 6 - 9 wherein information is encrypted while in transit between said client and said server.

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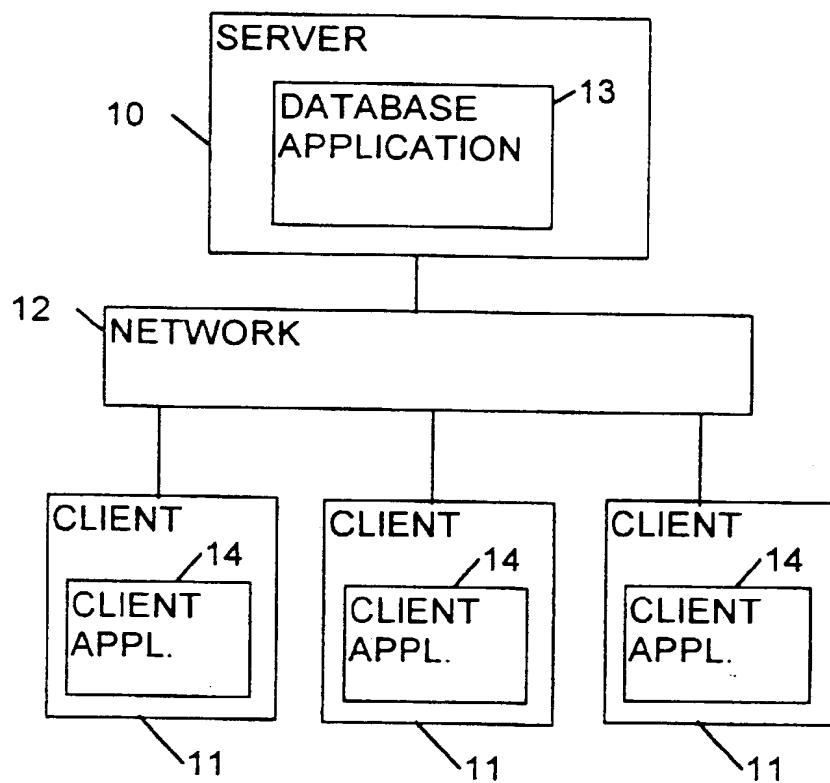


FIG. 1

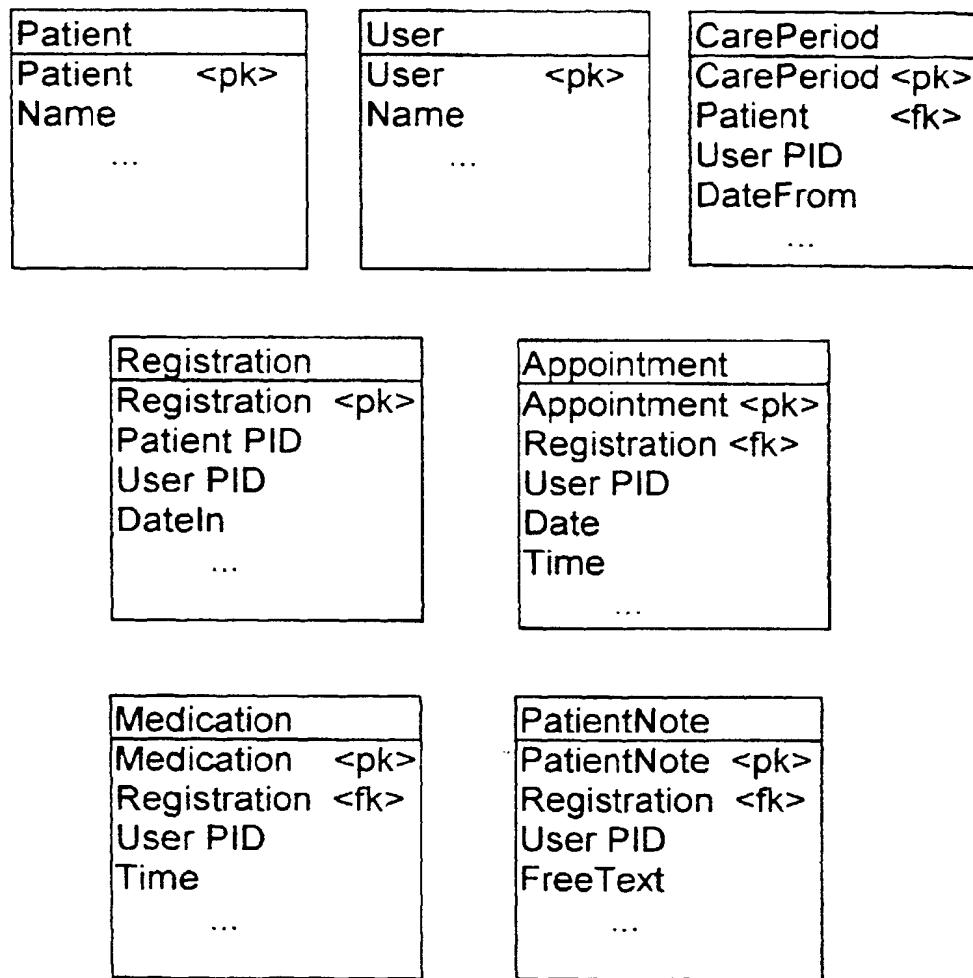


FIG. 2



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## EUROPEAN SEARCH REPORT

Application Number

EP 98 30 3558

DOCUMENTS CONSIDERED TO BE RELEVANT									
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)						
A	WO 95 15628 A (ANONYMITY PROT IN SWEDEN AB ; JOHANSSON JAN (SE)) 8 June 1995 * abstract; figures 1-3 * * page 2, line 3 - line 31 * * page 4, line 14 - line 37 * * page 12, line 19 - page 13, line 3 * * claims 1-10 *	1-10	G06F1/00						
A	US 5 163 097 A (PEGG TINA C) 10 November 1992 * the whole document *	1-10							
A	US 5 191 611 A (LANG GERALD S) 2 March 1993 * column 4, line 14 - column 5, line 11 *	1-10							
A	RAFIUL AHAD ET AL: "HP OPENODB: AN OBJECT-ORIENTED DATABASE MANAGEMENT SYSTEM FOR COMMERCIAL APPLICATIONS" HEWLETT-PACKARD JOURNAL, vol. 44, no. 3, 1 June 1993, pages 20-30, XP000303911	-----	TECHNICAL FIELDS SEARCHED (Int.Cl.6) G06F						
<p>The present search report has been drawn up for all claims</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">Place of search</td> <td style="width: 33%;">Date of completion of the search</td> <td style="width: 34%;">Examiner</td> </tr> <tr> <td>THE HAGUE</td> <td>30 September 1998</td> <td>Powell, D</td> </tr> </table> <p>CATEGORY OF CITED DOCUMENTS</p> <p>X : particularly relevant if taken alone  Y : particularly relevant if combined with another document of the same category  A : technological background  O : non-written disclosure  P : intermediate document</p> <p>T : theory or principle underlying the invention  E : earlier patent document, but published on, or after the filing date  D : document cited in the application  L : document cited for other reasons  &amp; : member of the same patent family, corresponding document</p>				Place of search	Date of completion of the search	Examiner	THE HAGUE	30 September 1998	Powell, D
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THE HAGUE	30 September 1998	Powell, D							